Creating the Constitution

If YOU were there...

You are a merchant in Connecticut in 1787. You have been a member of your state legislature for several years. This spring, the legislature is choosing delegates to a convention to revise the Articles of Confederation. Delegates will meet in Philadelphia. It means leaving your business in others’ hands for most of the summer. Still, you hope to be chosen.

Why would you want to go to the Constitutional Convention?

BUILDING BACKGROUND  It did not take long for people to realize that the Articles of Confederation had many weaknesses. By the mid-1780s most political leaders agreed that changes were needed. To make those changes, they called on people with experience in government.

Constitutional Convention

In February 1787 the Confederation Congress invited each state to send delegates to a convention in Philadelphia. The goal of the meeting was to improve the Articles of Confederation.

Delegates to the Constitutional Convention met in Philadelphia’s Independence Hall.

Main Ideas

1. The Constitutional Convention met to improve the government of the United States.
2. The issue of representation led to the Great Compromise.
3. Regional debate over slavery led to the Three-Fifths Compromise.
4. The U.S. Constitution created federalism and a balance of power.

The Big Idea

A new constitution provided a framework for a stronger national government.

Key Terms and People

Constitutional Convention, p. 164
James Madison, p. 164
Virginia Plan, p. 164
New Jersey Plan, p. 165
Great Compromise, p. 165
Three-Fifths Compromise, p. 166
popular sovereignty, p. 167
legislative branch, p. 167
executive branch, p. 167
judicial branch, p. 167
checks and balances, p. 167
federalism, p. 167
amendments, p. 168

Use the graphic organizer online to take notes on the principles supported by the Constitution.
The Constitutional Convention was held in May 1787 in Philadelphia’s Independence Hall to improve the Articles of Confederation. However, delegates would leave with an entirely new U.S. Constitution. This decision angered some of the participants.

Most delegates were well educated, and many had served in state legislatures or Congress. Benjamin Franklin and James Madison were there. Revolutionary War hero George Washington was elected president of the Convention.

Several important voices were absent. John Adams and Thomas Jefferson could not attend. Patrick Henry chose not to attend because he did not want a stronger central government. Women, African Americans, and Native Americans did not take part because they did not yet have the rights of citizens.

Reading Check Summarizing What was the purpose of the Constitutional Convention?

Great Compromise

Several issues divided the delegates to the Constitutional Convention. Some members wanted only small changes to the Articles of Confederation, while others wanted to rewrite the Articles completely.

Those delegates who wanted major changes to the Articles had different goals. For example, small and large states had different ideas about representation, economic concerns such as tariffs, and slavery. In addition, delegates disagreed over how strong to make the national government.

Virginia Plan

After the delegates had met for four days, Edmund Randolph of Virginia presented the Virginia Plan. He proposed a new federal constitution that would give sovereignty, or supreme power, to the central government. The legislature would be bicameral—made
up of two houses, or groups of representatives—and chosen on the basis of state populations. Larger states would thus have more representatives than would smaller states. Delegates from the smaller states believed that it would give too much power to the larger states.

New Jersey Plan

The smaller states came up with a plan to stop the larger states from getting too much power. New Jersey delegate William Paterson presented the small-state or New Jersey Plan, which called for a unicameral, or one-house, legislature. The plan gave each state an equal number of votes, and thus an equal voice, in the federal government. The plan gave the federal government the power to tax citizens in all states, and it allowed the government to regulate commerce.

Compromise Is Reached

After a month of debate, the delegates were unable to agree on how states should be represented. The convention reached a deadlock.

Finally, Roger Sherman of Connecticut proposed a compromise plan. The legislative branch would have two houses. Each state, regardless of its size, would have two representatives in the Senate, or upper house. This would give each state an equal voice, pleasing the smaller states. In the House of Representatives, or lower house, the number of representatives for each state would be determined by the state's population. This pleased the larger states. The agreement to create a two-house legislature became known as the Great Compromise. James Wilson, a great speaker, saw his dream of a strong national government come true.

READING CHECK  Contrasting  How did the Virginia Plan and New Jersey Plan differ?
Three-Fifths Compromise

The debate over representation also involved regional differences. Southern delegates wanted enslaved Africans to be counted as part of their state populations. This way they would have more representatives, and more power, in Congress. Northerners disagreed. They wanted the number of slaves to determine taxes but not representation.

To resolve this problem, some delegates thought of a compromise. They wanted to count three-fifths of the slaves in each state as part of that state’s population to decide how many representatives a state would have. After much debate, the delegates voted to accept the proposal, called the Three-Fifths Compromise. Under this agreement only three-fifths of a state’s slave population would count when determining representation.

Another major issue was the foreign slave trade. Some of the delegates believed slavery was wrong and wanted the federal government to ban the slave trade. Others said that the southern states’ economies needed the slave trade. Many southern delegates said they would leave the Union if the Constitution immediately ended the slave trade. Also at issue was Congress’s ability to tax imports and exports.

Worried delegates reached another compromise. The Commerce Compromises allowed Congress to levy tariffs on imports, but not exports, and allowed the importation of slaves until the end of 1807. The delegates omitted, or left out, the words slavery and slave in the Constitution. They referred instead to “free Persons” and “all other Persons.”

**Reading Check** Summarizing What compromise was reached over the issue of the slave trade?
A New System of Government

Most of the delegates to the Convention wanted a stronger central government than the Articles of Confederation could provide. They believed it was necessary for the protection and administration of the group of states. But delegates also wanted to protect the individual rights that had been won in the Revolution. They wanted the new system of government to support the ideals stated in the Declaration of Independence.

The right of individual citizens to maintain the unalienable rights of “life, liberty, and the pursuit of happiness” was supported by the idea of popular sovereignty. This is the idea that political authority is in the hands of the people. In the new nation, people expressed this power through their votes. The power of the government is limited by the power of voters. In a republic, the government consists of people elected by voters to represent them. In this system, voters can limit the actions of government by removing representatives who do not truly work for the goals of voters.

Checks and Balances

The delegates also divided the roles of government into three branches: the legislative, the executive, and the judicial. The legislative branch is Congress. It is responsible for proposing and passing laws, which are also called legislation. It is made up of two houses, as created in the Great Compromise. Members of the Senate and members of the House of Representatives are elected through different systems, which helps balance the power among voters from different states. The executive branch includes the president and the departments that help run the government. The executive branch makes sure that laws are carried out. The judicial branch is made up of all of the national courts. This branch is responsible for interpreting laws, punishing criminals, and settling disputes between states.

The framers of the Constitution created a system of checks and balances, which keeps any single branch of government from becoming too powerful. For example, Congress has the power to pass bills into law. The president has the power to veto, or reject, laws that Congress passes. However, Congress can override the president’s veto with a two-thirds majority vote. The Supreme Court has the power to review laws passed by Congress and strike down any law that violates the Constitution.

Federalism

Even though many of the delegates wanted a stronger central government, they did not want to destroy state governments. In order to balance the power between these two types of government, they created the system of federalism. Federalism is the sharing of power between a central government and the states that make up a nation. Under the previous confederal system, states were only loosely joined together.

Under the Constitution, each state must obey the authority of the federal, or national, government. States have control over government functions not specifically assigned to the federal government. This includes control of local government, education, the chartering of corporations, and the supervision of religious bodies. States also have the power to create and oversee civil and criminal laws. States must protect the welfare of their citizens.

Amending the Constitution

One of the most important decisions the framers made was to include a method for changing or adding to the Constitution. They wanted the government to be able to adapt as changes were needed. The process for amending the Constitution was made difficult, however, so that major changes to the government would require the support of a large majority of voters. Two-thirds of each house of Congress and three-fourths of
states must approve a change before it can take effect. Although many amendments, or changes to the Constitution, have been suggested, only twenty-seven have been approved. The purposes of these amendments have usually focused on protecting civil and voting rights, and on procedures for administering the government.

Even though the final draft of the Constitution was adopted by the Convention, many disagreements still existed among delegates. Debates continued around the power of state governments and the role of each branch. Almost as soon as the document was adopted, different interpretations of the language used began to appear. Some of the disagreements affect the views of lawmakers even today, but the Constitution is still the guiding blueprint for the nation’s government.

**READING CHECK** Explain How does the constitutional system of government protect the rights of individuals?

**SUMMARY AND PREVIEW** The Constitution balanced power among three branches of the federal government but was only written after many compromises. In the next section you will read about Antifederalist and Federalist views of the Constitution, and the struggle to get it approved by the states.

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**Section 3 Assessment**

**Reviewing Ideas, Terms, and People**

1. **a. Recall** Why did the Confederation Congress call for a Constitutional Convention?
   **b. Elaborate** Why do you think it was important that most delegates had served in state legislatures?

2. **a. Identify** What was the Great Compromise?
   **b. Draw Conclusions** How did state issues lead to debate over structure of the central government?

3. **a. Explain** What was the debate between North and South over counting slave populations?
   **b. Contrast** How did delegates’ views differ on the issue of the foreign slave trade?

4. **a. Recall** Why did the framers of the Constitution create a system of checks and balances?
   **b. Evaluate** Did the Constitution resolve the weaknesses in the Articles of Confederation? Explain your answer.

**Critical Thinking**

5. **Summarizing** Copy the graphic organizer below and use it to analyze how the Constitution supports each of the following principles: limited government, republicanism, checks and balances, federalism, separation of powers, popular sovereignty, and individual rights.

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<thead>
<tr>
<th>Principle</th>
<th>Constitutional Support</th>
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**Focus on Writing**

6. **Thinking about the Constitution** Look back through what you’ve just read and make a list of important features of the Constitution. Be sure to note important compromises.